

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	<b>Application Number</b>	10/814,907
	<b>Filing Date</b>	March 26, 2004
	<b>First Named Inventor</b>	Kautzleben, Reinhold
	<b>Group Art Unit</b>	4152
	<b>Examiner Name</b>	Lindsey, Matthew
Sheet 1 of 3		Attorney Docket No: 6570P027

US PATENT DOCUMENTS					
Examiner Initial*	Cite No <sup>1</sup>	USP Document Number	Publication or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/M.L./		US-2004/0058652	03/25/2004	McGregor, Christopher M. et al.	
/M.L./		US-2004/0064552	04/01/2004	Chong, James C. et al.	
/M.L./		US-2005/0257157	11/17/2005	Gilboa, Yuval et al.	
/M.L./		US-6,792,460	09/14/2004	Oulu, Yossi et al.	
/M.L./		US-7,209,898	04/24/2007	Pfeiffer, Stephen et al.	
/M.L./		US-7,209,963	04/24/2007	Burton, Toby L. et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document Country Code/Number/Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					T <sup>2</sup>

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
/M.L./		Non-Final Office Action mailed 6/12/2007 for Pending U.S. Patent Application No. 11/026,617 (application filed on 12/30/2004; inventor: Pfeiffer et al.; Docket No.: 6570P184)			
/M.L./		Final Office Action mailed 11/20/2007 for Pending U.S. Patent Application No. 11/026,617 (application filed on 12/30/2004; inventor: Pfeiffer et al.; Docket No.: 6570P184)			
/M.L./		Adding Systems to the Availability Monitoring Manually; Downloaded on December 14, 2004; <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e0fe5d7980ffe10000009b3810a5/c...">http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e0fe5d7980ffe10000009b3810a5/c...</a> SAP Library - The Alert Monitoring; 1 page			
/M.L./		Alert Monitoring of the J2EE Engine Using CCMS; Downloaded on December 14, 2004; <a href="http://help.sap.com/saphelp_webas630/helpdata/en/92/ef30241c0f4a41959390a27e286ce...">http://help.sap.com/saphelp_webas630/helpdata/en/92/ef30241c0f4a41959390a27e286ce...</a> SAP Library-J2EE Technology in S... 2 pages			
/M.L./		Availability and Performance Overview Monitor; Downloaded December 14, 2004; <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/ae/cd823bd26a5c42e10000000a114084...">http://help.sap.com/saphelp_erp2004/helpdata/en/ae/cd823bd26a5c42e10000000a114084...</a> SAP Library - The Alert Monitor, 3 pages			
/M.L./		Availability Monitoring with CCMS/SPING; Downloaded December 14, 2004; <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e3337d7840fee10000009b3810a5/...">http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e3337d7840fee10000009b3810a5/...</a> SAP Library - The Alert Monitor, 2 pages			

EXAMINER /Matthew Lindsey/

DATE CONSIDERED 06/04/2008

Based on PTO-308A(10-06) - Substitute Disclosure Statement Form (PTO-1440) as revised by BSTZ 032607

\* EXAMINER Initial if reference considered, whether or not citation is in accordance with MPEP § 609. Draw lines through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	Complete if Known	
	<b>Application Number</b>	10/814,907
	<b>Filing Date</b>	March 26, 2004
	<b>First Named Inventor</b>	Kautzleben, Reinhold
	<b>Group Art Unit</b>	4152
	<b>Examiner Name</b>	Lindsey, Matthew
Sheet 2 of 3		Attorney Docket No: 6570P027

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/M.L./		CCMS Agents; <a href="http://help.sap.com/saphelp_nw04/helpdata/en/48/6a76607a7c9140947ad6c7b6f5a26/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/48/6a76607a7c9140947ad6c7b6f5a26/frameset.htm</a> , 2 pages	
/M.L./		CCMS Monitoring Architecture: Preconfigured Monitors for the Alert Monitor; SAP Web Application Server 6.20, Copyright 2001, SAP AG, (11/16/2001), 52 pages	
/M.L./		CCMS: Informix; Downloaded December 14, 2004: <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/73/61c850800e25478d24696196a969a5...">http://help.sap.com/saphelp_erp2004/helpdata/en/73/61c850800e25478d24696196a969a5...</a> SAP Library - CCMS: Informix; 2 pages	
/M.L./		Changing Monitoring Setting of Servers and Groups; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/6c/d667aa73bb9c428e9786eaf559f7ea/...">http://help.sap.com/saphelp_erp2004/helpdata/en/6c/d667aa73bb9c428e9786eaf559f7ea/...</a> SAP Library - The Alert Monitor; 2 pages	
/M.L./		Configuring Availability Monitoring; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e100dd7980ffe10000009b3810a5/...">http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e100dd7980ffe10000009b3810a5/...</a> SAP Library - The Alert Monitor; 4 pages	
/M.L./		Creating and Changing a Monitoring Pause; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/73/098488227c384f97c564225737108c...">http://help.sap.com/saphelp_erp2004/helpdata/en/73/098488227c384f97c564225737108c...</a> SAP Library - The Alert Monitor; 2 pages	
/M.L./		Creating and Changing Monitoring Rules; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/40/17fd8c1754324b81da9027f1b9b7b75/...">http://help.sap.com/saphelp_erp2004/helpdata/en/40/17fd8c1754324b81da9027f1b9b7b75/...</a> SAP Library - The Alert Monitor; 3 pages	
/M.L./		Installing and Registering CCMS Agents; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_nw04/helpdata/en/48/6a76607a7c9140947ad6c7b6f5a26/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/48/6a76607a7c9140947ad6c7b6f5a26/frameset.htm</a> SAP Library, 3 pages	
/M.L./		Installing Availability Agent CCMSPING; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/79/498e3bae1d9e7ae10000000a114084...">http://help.sap.com/saphelp_erp2004/helpdata/en/79/498e3bae1d9e7ae10000000a114084...</a> SAP Library - The Alert Monitor; 2 pages	
/M.L./		Monitoring; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_webas630/helpdata/en/68/f4bc3deaf28d33e10000000a114055...">http://help.sap.com/saphelp_webas630/helpdata/en/68/f4bc3deaf28d33e10000000a114055...</a> SAP Library - J2EE Technology in SAP Web Application Server; 3 pages	

EXAMINER /Matthew Lindsey/

DATE CONSIDERED

06/04/2008

Based on PTO/3809A/09-06, Substitute Disclosure Statement Form (PTO-1449) as modified by BSTZ 0326/07  
 \* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional) \* Applicant is to place a check mark here if English language translation is attached

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	<i>Complete if Known</i>	
	<b>Application Number</b>	10/814,907
	<b>Filing Date</b>	March 26, 2004
	<b>First Named Inventor</b>	Kautzleben, Reinhold
	<b>Group Art Unit</b>	4152
	<b>Examiner Name</b>	Lindsey, Matthew
Sheet 3 of 3		Attorney Docket No: 6570P027

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/M.L./		Operating System Monitor; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_nw04/helpdata/en/55/b5b93b5bb3493fe10000000a114084/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/55/b5b93b5bb3493fe10000000a114084/frameset.htm</a> SAP Library; 1 page	
/M.L./		The Alert Monitor; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_nw2004s/helpdata/en/28/83493b6b82e908e10000000a11402f/content.htm">http://help.sap.com/saphelp_nw2004s/helpdata/en/28/83493b6b82e908e10000000a11402f/content.htm</a> SAP Library; 2 pages	
/M.L./		The Monitoring Architecture: Concept; Downloaded February 27, 2008: <a href="http://help.sap.com/saphelp_nw04/helpdata/en/c4/3a7da1505211d189550000e829fbbd/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/c4/3a7da1505211d189550000e829fbbd/frameset.htm</a> SAP Library; 2 pages	
/M.L./		The SAP J2EE Engine Monitoring Architecture; Downloaded on December 14, 2004: <a href="http://help.sap.com/saphelp_webas630/helpdata/en/83/6b20165833fa43b28f0349a4d3108...">http://help.sap.com/saphelp_webas630/helpdata/en/83/6b20165833fa43b28f0349a4d3108...</a> SAP Library - J2EE Technology in SAP...; 2 pages	

EXAMINER

/Matthew Lindsey/

DATE CONSIDERED

06/04/2008

Based on PTO/8894(09-08) - Substitute Disclosure Statement Form (PTO-1449) as modified by BSTZ 03/26/07

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional) 2 Applicant to place a check mark here if English language Translation is attached